| Improving the constraint on the $M_{ m w}$ 7.1 2016 off-Fukushima shallow normal-  |
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| faulting earthquake with the high azimuthal coverage tsunami data from the   |
| S-net wide and dense network: Implication for the stress regime in the   |
| Tohoku overriding plate  |
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| Key Points:  |
| • Tsunamis due to the 2016 off-Fukushima shallow normal-faulting earthquake were   |
| observed by the S-net wide and dense pressure gauge network  |
| • Use of the near-field and the high-coverage array significantly improved the constraint of   |
| the fault modeling of the 2016 earthquake  |
| • Horizontal extensional stress predominant even before the 2011 Tohoku earthquake   |
| should be the main cause of the earthquake and tsunami   |
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#### 24 Abstract

Tsunamis with amplitudes of up to 40 cm, related to the  $M_{\rm w}$  7.1 normal-faulting 25 earthquake off Fukushima, Japan, on November 21, 2016, were clearly recorded by a new 26 27 offshore wide and dense ocean-bottom pressure gauge network, S-net, with high azimuthal coverage located closer to the focal area. We processed the S-net data and found some stations 28 included the tsunami-irrelevant drift and step signals. We analyzed the S-net data to infer the 29 tsunami source distribution. A subsidence region with a narrow spatial extent (~40 km) and a 30 31 large peak (~200 cm) was obtained. The other near-coastal waveforms not used for the inversion analysis were also reproduced very well. Our fault model suggests the maximum stress drop 32 across the fault plane of  $> \sim 10$  MPa and the average of 4.2 MPa, whereas the shear stress 33 increase along the fault caused by the 2011 Tohoku earthquake was only ~2 MPa. Past studies 34 35 have suggested that horizontal compressional stress around this region switched to horizontal extensional stress after the Tohoku earthquake due to its stress perturbation. The present result, 36 37 however, suggests that the horizontal extensional stress was locally predominant at the shallowest surface around the focal area even before 2011. The present study demonstrates that 38 the S-net high-azimuthal-coverage pressure data provides a significant constraint on the fault 39 modeling, which enables us to discuss the stress regime within the overriding plate at the 40 offshore. Our analysis provides an implication for crustal stress states, which is important for 41 understanding generation mechanisms of intraplate earthquakes. 42

43

## 44 Plain Language Summary

On November 21, 2016 (UTC), a large earthquake occurred within the continental plate 45 off Fukushima, Japan, and a new seafloor tsunami network, S-net, recorded its tsunamis with 46 much higher azimuthal coverage and with shorter epicentral distance than any of the previous 47 networks. We analyzed the S-net data to reveal the rupture process of this earthquake. Our result 48 explained all of the S-net data and the other tsunami network data very well. According to past 49 studies, the continental plate in northeastern Japan was under horizontal compression before the 50 2011 Tohoku earthquake due to the pushing force by the subducting oceanic plate. However, our 51 rupture modeling result suggested that the plate around the earthquake rupture area was 52 53 horizontally stretched even before the Tohoku earthquake, so that the off-Fukushima earthquake occurred. Our study demonstrated that the S-net, which has high spatial coverage, makes it 54

- 55 possible to reveal the rupture model of offshore earthquakes, which was difficult in the past
- 56 before S-net became available. The S-net will also enable us to discuss the impact of the Tohoku
- 57 earthquake on the crustal stress, which is necessary for understanding the earthquake generation
- 58 mechanics.
- 59

#### 60 1 Introduction

In this decade, the coseismic rupture process of the 2011 Tohoku earthquake and its 61 preseismic and postseismic processes have been investigated in detail (e.g., Hino, 2015; Kodaira 62 et al., 2020; 2021; Lay, 2018; Uchida & Bürgmann, 2021; Wang et al., 2018). In response to the 63 Tohoku earthquake, a new wide offshore deep-ocean observation network, Seafloor Observation 64 Network for Earthquakes and Tsunamis along the Japan Trench (S-net), has been constructed off 65 eastern Japan (Aoi et al., 2020; Kanazawa et al., 2016; Mochizuki et al., 2017; Uehira et al., 66 67 2016, Figure 1a). Recent studies have started to utilize S-net ocean-bottom seismometers to investigate the seismotectonics and geodynamics in the Tohoku subduction zone (Dhakal et al., 68 2021; Hua et al., 2020; Matsubara et al., 2019; Nishikawa et al., 2019; Sawazaki & Nakamura, 69 2020; Takagi et al., 2019, 2021; Tanaka et al., 2019; Uchida et al., 2020; Yu & Zhao, 2020). The 70 71 S-net also incorporates ocean-bottom pressure gauges (OBPGs), which are expected to be utilized for tsunami forecasts (e.g., Aoi et al., 2019; Inoue et al., 2019; Mulia & Satake, 2021; 72 73 Tanioka, 2020; Tsushima & Yamamoto, 2020; Wang et al., 2021; Yamamoto et al., 2016a; 2016b). The other potential contributions to the earth sciences of the S-net OBPG have also been 74 demonstrated, such as understanding the wave propagation process in the ocean as well as the 75 rupture process of subseafloor earthquakes (Kubota et al., 2020a; 2021; Saito & Kubota, 2020; 76 Saito et al., 2021). The wide and dense network data of S-net will significantly broaden our 77 understanding of the Tohoku subduction zone after the Tohoku earthquake. 78 79 On November 21, 2016, a major shallow normal-faulting earthquake occurred within 80 the overriding plate off Fukushima Prefecture (20:59 UTC, M<sub>w</sub> 6.9, 12 km, Global CMT [GCMT], https://www.globalcmt.org, Figure 1, hereafter referred to as the off-Fukushima 81 earthquake). Compared with the GCMT centroid, its epicenter, as determined by Japan 82 Meteorological Agency (JMA), was located ~20 km east to northeast (white star in Figure 1). 83 84 Numerous aftershocks accompanied this earthquake (Figures 1b and 1c). It has been reported that the tsunamis associated with the off-Fukushima earthquake were observed by onshore and 85 offshore tsunami networks (e.g., Gusman et al., 2017; Kawaguchi et al., 2017; Suppasri et al., 86 2017). However, these stations were located only on the shore-side from the focal area, and the 87 source-station distances are large (Figure 1a). In contrast, the S-net OBPGs recorded tsunamis 88

89 with much higher azimuthal coverage and with a closer distance to the focal area (~30 km,

90 Figure 1a). Because of the much better station coverage of the S-net, the constraint on the initial

sea height (tsunami source) estimation and the finite fault modeling of the off-Fukushima
earthquake will be significantly increased, as compared with the previous datasets.

The normal-faulting mechanism of the off-Fukushima earthquake is similar to nearby 93 94 shallow normal-faulting micro-seismicity within the overriding plate, with a tensile axis ( $\sigma_3$ ) oriented in basically the east-west direction, which significantly increased after the Tohoku 95 earthquake (Figures 1d-1f, e.g., Asano et al., 2011; Hardebeck & Okada, 2018; Hasegawa et al., 96 2012; Tanaka et al., 2014; Wang et al., 2019; Yoshida et al., 2012). This increase in the normal-97 98 faulting seismicity is considered to be related to the significant stress perturbation by the Tohoku earthquake, which switched the intraplate stress regime from horizontal compression to 99 100 horizontal extension (e.g., Hasegawa et al. 2012). If we can obtain a detailed fault model of the off-Fukushima earthquake, then the quantitative relationship between the crustal stress released 101 102 during the off-Fukushima earthquake (i.e., stress drop) and the stress increase due to the 2011 Tohoku earthquake can be discussed. Because the generation of earthquakes is very closely 103 104 related to the process of the stress accumulation and release, this quantitative comparison of the stresses is essential to deepen our understanding of the temporal change of the crustal stress state 105 associated with the Tohoku earthquake and our knowledge of the generation mechanisms of the 106 subseafloor crustal earthquakes related to the offshore megathrust earthquake, which may excite 107 the significant tsunamis to cause a severe damage to the coast. 108

Because of the tsunamis' much smaller propagation velocity than that of seismic 109 waves, the tradeoff between the earthquake source dimension and the rupture velocity is much 110 111 smaller (Kubota et al. 2018a). In addition, shallow earthquakes generally excite tsunamis more efficiently than deep earthquakes (Kubota et al. 2019). So tsunami data have a strong advantage 112 in the robust constraint on the fault slip extent and slip amount of the 2016 off-Fukushima 113 earthquake, leading to the robust constraint on the stress drop. In the present study, therefore, we 114 estimate the detailed finite fault model of the off-Fukushima earthquake using the S-net OBPG 115 data. From the finite fault model, we also attempt to examine the normal-faulting stress state 116 within the crust around the off-Fukushima earthquake and its relationship with the Tohoku 117 earthquake, based on the stress drop estimation from the finite fault model. Section 2 describes 118 the dataset used in this study, and Section 3 summarizes the feature in the S-net OBPG data. The 119 spatial distribution of the initial sea surface height (tsunami source) and the finite fault model of 120 the off-Fukushima earthquake are estimated in Sections 4 and 5, respectively. Section 6 121

- examines the relationship between the Tohoku earthquake and the stress regime around the focal
- area. Section 7 concludes the present study.
- 124



Figure 1. (a) Location map of the present study. Locations of the tsunami stations are shown by 126 colored symbols (black circle: S-net OBPG, blue inverted triangle: ERI OBPG, yellow inverted 127 triangle: Tohoku University OBPG, orange square: NOWPHAS GPS buoy, pink triangle: 128 NOWPHAS wave gauge). The epicenter (white star) and the CMT solution (red) of the off-129 Fukushima earthquake are taken from JMA and GCMT, respectively. (b) Enlarged view of the 130 rectangular area drawn by gray lines in Figure 1a. Aftershocks during about one week as 131 132 determined by JMA are shown (color denotes its depth). Orange contours show the depth of the subducting plate interface (Nakajima & Hasegawa, 2006). The locations of fresh seafloor cracks 133

- 134 found by the JAMSTEC survey are shown by blue triangles. (c) Vertical cross section along line
- 135 A-A' in Figure 1b. (d–f) The F-net fault mechanisms (Fukuyama et al., 1998) at depths shallower
- than 20 km, (d) before the Tohoku earthquake, (e) between the Tohoku earthquake and the off-
- 137 Fukushima earthquake, and (f) after the off-Fukushima earthquake.
- 138

## 139 2 Tsunami dataset

The present study used the S-net OBPG data (black circles in Figure 1a, Wang & 140 141 Satake, 2021). Although S-net now consists of 150 observatories (Aoi et al., 2020), 25 of these observatories, located at the outer-trench region, were not installed when the off-Fukushima 142 earthquake occurred. Each observatory is equipped with absolute pressure sensors manufactured 143 by Paroscientific, Inc. (e.g., Polster et al., 2009; Watts & Kontoyiannis, 1996). Two pressure 144 145 sensors are equipped in each observatory for redundancy. The sensors are not directly exposed to the seawater, but rather are sealed in a metal housing filled with oil. The metal housing is further 146 sealed in a metal cylindrical vessel filled with oil. The external pressure is transferred to the 147 pressure sensor inside via a diaphragm made of hard rubber. See Aoi et al. (2020) for more 148 details. 149

In addition to S-net, we use other OBPGs to evaluate the modeling resolution. We use the OBPGs off Iwate Prefecture installed by the Earthquake Research Institute (ERI) of the University of Tokyo (blue inverted triangles in Figure 1a, Gusman et al., 2017; Kanazawa & Hasegawa, 1997) and the OBPGs off eastern Japan installed by Tohoku University (yellow inverted triangles, Hino et al., 2014; 2021). We also use the offshore GPS buoys (orange squares) and wave gauges (pink triangles) of the Nationwide Ocean Wave information network for Ports and HArbourS [NOWPHAS] (Kawaguchi et al., 2017; Nagai et al., 1998).

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## 158 **3 Fundamental feature of the S-net OBPGs: Tsunami-irrelevant pressure signals**

In order to investigate the fundamental feature of the S-net OBPG signals, we first process the OBPG data. We decimate the original 10 Hz data to 1 Hz (Figures 2a–2i). We then subtract the theoretical tide calculated by the model of Matsumoto et al. (2000) and apply a lowpass filter with a cutoff of 100 s in both forward and backward directions, to reduce the highfrequency seismic wave signals (Figure 2j-2r).

Figures 2a–2i show the 1-Hz-sampling pressure waveforms. The high-frequency 164 fluctuations related to the seismic waves and ocean-acoustic waves (e.g., Kubota et al., 2020b) 165 are observed. The gradual pressure increases related to the ocean tide are also observed, although 166 some traces show different trends. The pressure changes recorded by the two sensors equipped in 167 the same observatory (black and gray lines) are very similar to each other. The difference 168 between these two traces (red lines) is around zero, although some stations have offsets in the 169 differences. At station S2N13, which is located just above the focal area of the off-Fukushima 170 171 earthquake, no seismic or tsunamis signals were recorded, although the co-equipped seismometer correctly recorded the ground shaking of this earthquake (Dhakal et al., 2021; Takagi et al., 172 2019; see also https://www.hinet.bosai.go.jp/topics/off-fukushima161122/?m=snet, in Japanese). 173 This may suggest that the pressure observation part at S2N13 observation node did not work 174 175 correctly. We note that the instrument at this site was replaced in 2020 (https://www.seafloor.bosai.go.jp/notice/notice 200414 1.pdf, in Japanese), and this site 176 recorded tsunamis related to a  $M_{\rm JMA}$  7.3 earthquake on 13 February 2021 177

178 (https://www.hinet.bosai.go.jp/topics/off-fukushima210213/?m=others, in Japanese).



181 **Figure 2.** (a–i) The 1-Hz ocean-bottom pressure waveforms for stations (a) S2N02, (b) S2N03,

(c) S2N06, (d) S2N11, (e) S2N12, (f) S2N13, (g) S2N14, (h) S2N15, and (i) S2N18. Black and 182 gray traces denote the waveforms from each of the pressure sensors. Red traces denote the 183 difference between the two sensors. Note that the vertical scale for the difference waveforms is 184 different in each subfigure. The dominant signals are indicated by arrows and text. The epicentral 185 distance  $\Delta$  measured from the JMA epicenter, and the tilt change  $\lambda$ , rotation angle change  $\theta$ , and 186 peak ground acceleration (PGA) values measured by the co-equipped accelerometer (Takagi et 187 188 al., 2019) are also shown. (j–r) Ocean-bottom pressure waveforms after data processing for the stations. 189

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Although the tsunamis are confirmed in the lowpass-filtered S-net OBPG waveforms 191 192 (Figures 2j–2r), we also recognize some signals irrelevant to the tsunamis, such as the large drift components (e.g., > ~50 hPa/hour, S2N06 and S2N18). If these drifts are caused by a vertical 193 194 movement due to the postseismic deformation or the unstable slow sliding of the sensor, the vertical movement rate will be ~50 cm/hour (1 hPa pressure change is approximated as 1 cm 195 displacement). This is too unrealistic. In addition, these drift features are also confirmed in some 196 of the other sites at water depth shallower than 1500 m, in which the instruments are buried 197 about one meter beneath the seafloor (Aoi et al., 2020). Therefore, these drifts are not caused by 198 the real movement, but it might be possible to be caused by the mechanical reason. Some 199 previous studies reported that the Paroscientific pressure sensors contain instrumental drift with 200 201 rates of ~8.8 hPa/year (Inazu & Hino, 2011; Polster et al. 2009; Watts & Kontoyiannis, 1996), although this previously-reported rates are much smaller. It is also incomprehensible that the 202 drift rates are completely identical in the sensor pair, although the instrumental drift must be 203 individually different in each sensor. Therefore, we do not consider the cause of these drifts to be 204 205 the one previously reported. Although we cannot identify the reason for these drifts, we suspect the observation system of the S-net may be relevant. The observation system of the S-net 206 observation node, which includes not only the OBPG sensors but also other instruments such as 207 seismometers, a power supply unit, and a real-time data transmitting unit (Aoi et al., 2020), is 208 much more complicated than the ordinary offline pop-up pressure observation (e.g., Hino et al., 209 2014). 210

In addition, abrupt steps at the origin time are observed at some OBPGs, particularly at 211 S2N11, S2N12, S2N14, and S2N15. The step is also observed at S2N13, where no tsunami 212 signals were recorded. If we consider the pressure offset changes as a result of the seafloor 213 vertical movement, these pressure changes correspond to a seafloor vertical displacement of 214 ~30-60 cm (1 hPa pressure change is approximated as 1 cm vertical movement). Considering the 215 source-station distances, these displacements seem too large compared with those expected from 216 typical M~7 earthquakes. Furthermore, even if the OBPGs are located inside the focal area 217 218 where the vertical displacement is large, the ocean-bottom pressure, or the seawater column height above the OBPG, cannot change so abruptly because both seafloor and sea-surface 219 220 simultaneously move vertically during tsunami generation (e.g., Tsushima et al. 2012). Therefore, these steps are unlikely to be caused by the seafloor permanent displacement. Similar 221 222 pressure steps were also recorded by the S-net and the other OBPG networks during the past earthquakes (Kubota et al., 2018b; 2020a; Wallace et al. 2016), which are not considered to be 223 224 related to the tsunami or the seafloor crustal deformation.

It has been reported that outputs of Paroscientific pressure sensors strongly depend on 225 its orientation relative to the direction of gravity (Chadwick et al., 2006). Thus, the step signals 226 might be caused by the rotation of the pressure sensor. According to Chadwick et al. (2006), the 227 rotation angle change of the pressure sensor of  $\theta \sim 10^{\circ}$  roughly corresponds to the apparent 228 pressure offset change of up to ~10 hPa. Takagi et al. (2019) analyzed the co-equipped 229 accelerometer during the off-Fukushima earthquake and found that some observatories near the 230 231 epicenter rotated associated with large seafloor ground motion (Figure 2). However, comparing the rotation angles at some near-source stations (e.g.,  $\theta = 0.86^{\circ}$  at S2N12 and 9.95° at S2N14, 232 Takagi et al., 2019), the observed pressure steps were extremely large (>~50 hPa). Furthermore, 233 considering that the sensitivity to the rotation angle must be different in each sensor, it is quite 234 strange that the amounts of the pressure step in two pressure sensors are almost identical. We 235 also confirm that the pressure steps in the two pressure sensors are different at some stations 236 where the large rotation was observed (e.g., S2N13, S2N15), leading to the steps around the 237 focal time in the difference traces between the two sensor outputs (red lines in Figure 2). Taking 238 these points into account, we consider that the dominant cause of the pressure steps is not the 239 response to the sensor rotation as reported by Chadwick et al. (2006), and the difference in the 240 steps between the two sensors may be due to the difference in the response to the rotation angle. 241

Although more detailed investigation is needed, we suspect the strong shaking of the instrument

due to the seismic motion might have mainly caused these steps, which may affect the

observation system of S-net, such as the transferring system of the external pressure to the sensor

inside of the metal housing. As a summary of this section, we emphasize that we must be careful

to analyze the OBPG data to distinguish whether such signals are real or are artifacts related to

the drift or offset, although the S-net OBPGs clearly recorded the tsunamis due to the 2016 offFukushima earthquake.

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## 250 4 Tsunami source modeling

4.1 Modeling procedure

In this section, we analyze the S-net data to estimate the spatial distribution of initial sea-surface height (tsunami source) of the off-Fukushima earthquake and to investigate how the S-net OBPGs provide better constraint. In order to reduce the long-period tsunami-irrelevant drift signals as well as the short-period seismic wave components, we apply the bandpass filter with passbands of 100–3,600 s (Figure 3b). We here briefly describe the procedure for the tsunami source modeling. The full details are shown in Text S1.

We distribute the unit source elements of the seafloor vertical displacement with 258 horizontal spatial intervals of 2 km, in an area of 50 km × 50 km (rectangular area in Figure 3a). 259 To calculate pressure change waveforms excited by each unit source element (i.e., the Green's 260 functions) we simulate tsunamis by solving a linear dispersive tsunami equation (Saito, 2019; 261 Saito et al., 2010). We use the JTOPO30 bathymetry data with a spatial resolution of 30 arcsec, 262 interpolating the spatial interval of 1 km. We assume the vertical displacement of the unit 263 sources is equal to the initial sea-surface height change and the displacement occurs 264 instantaneously at time t = 0 s. After the tsunami simulation, we calculate the pressure change p 265 at each OBPG location by subtracting the seafloor vertical movement from the sea-surface height 266 change (Tsushima et al., 2012),  $p = \rho_0 g_0 (\eta - u_z) (\rho_0$ : seawater density,  $g_0$ : gravitational 267 acceleration,  $\eta$ : sea-surface height change, and  $u_z$ : seafloor vertical displacement). We here 268 suppose  $\rho_0 \sim 1.02$  g/cm<sup>3</sup> and  $g_0 = 9.8$  m/s<sup>2</sup>, so that 1 cm change of seawater column height ( $\eta$  – 269  $u_z$ ) equals to 1 hPa pressure change (i.e.,  $\rho_0 g_0 = 1$  hPa/cm). We finally apply the same bandpass 270 filter to the simulated waveform as that applied to the observation. 271

In the inversion analysis, we use the time-derivative waveforms of the bandpassfiltered pressure  $(\partial p/\partial t)$ , the method of Kubota et al. (2018b)), because the time-derivative can reduce the artefacts due to the tsunami-irrelevant steps, which becomes the impulse and thus does not contain the offset change. The data time window used for the modeling is determined based on the visual inspection which includes the main part of the tsunami (listed in Table S1, blue traces in Figure 3c). The goodness of the estimated source is evaluated using the variance reduction (VR):

$$VR = \left(1 - \frac{\sum_{i} \left(d_{i}^{obs} - d_{i}^{cal}\right)^{2}}{\sum_{i} d_{i}^{obs^{2}}}\right) \times 100 \ (\%)$$
(1)

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280

where  $d_i^{\text{obs}}$  and  $d_i^{\text{cal}}$  are the *i*-th observed and calculated data, respectively. We impose the smoothing constraint for the inversion, and its weight is determined based on the trade-off between the weight and the VR (Figure S1) to avoid both overfitting and oversmoothing.

286 4.2 Results

Figure 3 shows the results of the inversion. A subsidence with a horizontal extent of 287 ~40 km  $\times$  ~20 km, having a sharp peak near the GCMT centroid, was obtained (Figure 3a). The 288 direction of the northeast-southwest extents of the subsidence is consistent with the GCMT strike 289 angle of 49°. The western edge of the subsidence region is consistent with the locations where 290 the seafloor displacements of 1-2 m and fresh seafloor cracks were found by a seafloor 291 bathymetry survey just after the off-Fukushima earthquake conducted by Japan Agency for 292 Marine-Earth Science and Technology [JAMSTEC] (blue triangles in Figure 3a). The time 293 derivatives of the S-net pressure waveforms were well reproduced (VR = 95.7%, Figure 3c). 294 Except for the steps just after the focal time at some near-source OBPGs, the observed pressure 295 296 is also well explained (Figure 3b). The waveforms recorded at the other tsunami stations (Figure 297 1a) are also reproduced surprisingly well (Figures 3d–3g), even though they were not used for the inversion. This suggests that the use of the S-net data provides good spatial resolution of the 298 tsunami source, and thus it is expected that we can obtain a reliable fault model. Note that the 299 later arrivals in some stations (e.g., ~100 min at TM1 and TM2) are not well reproduced, which 300 301 are caused by the coastal-reflections (Gusman et al., 2017). This is probably because the spatial

- resolution of the coastal shape from the topography data in our simulation is not sufficient to
- 303 reproduce the reflected tsunami waves, and the high-resolution bathymetry data is necessary
- 304 (Gusman et al., 2017; Kubota et al., 2018a).
- 305



Figure 3. Results of the tsunami source inversion. (a) Spatial distribution of the tsunami source.
Contour lines denote the subsided region with intervals of 20 cm. The white star is the JMA
epicenter, and blue triangles denote the location of the seafloor survey, where fresh surface

310 cracks were found. The yellow and gray circles show the S-net OBPGs used or not used,

311 respectively, for inversion analysis. Comparisons of (b) the pressure waveforms and (c) the time-

derivative waveforms. The gray and red traces denote the observed waveforms and simulated

313 waveforms from the tsunami source model. Traces marked by blue lines denote the time window

used for the inversion analysis. (d–g) Waveform comparisons for the other networks, for (d)

315 NOWPHAS Near-coastal GPS buoys, (e) NOWPHAS wave gauges, (f) OBPGs installed by ERI,

and (g) OBPGs installed by Tohoku University. At station 801, the waveforms during the data

- 317 missing are not drawn. See Figure 1 for station locations.
- 318

319 In the inversion, we used the time-derivative waveforms of the pressure to reduce the artefacts attributed to the tsunami-irrelevant pressure components (Kubota et al., 2018b). In order 320 321 to see how well this method reduced the artefacts, we also conduct the additional inversion using the original pressure waveforms, instead of its time-derivative waveforms (Figure S2). The 322 weight of the smoothing is also determined based on the VR between the observed and simulated 323 pressure waveforms (Figure S1). As a result, the distribution of the tsunami source is 324 fundamentally similar to the original distribution (VR = 87 %), although a significant artificial 325 uplift of > 60 cm is estimated around S2N14 where the large step was recorded. Related to this 326 artefact, the artificial pressure step irrelevant to the tsunami at S2N14 (see Section 3) was 327 reproduced, whereas that was not reproduced when using the time-derivative waveforms. In 328 order to avoid the artefact due to the tsunami-irrelevant step signals, the inversion using the time-329 330 derivative waveforms worked well.

We compare the tsunami source model estimated by the present study with the models 331 obtained using the tsunami data except for the S-net data (Figure S3, Table 1). The horizontal 332 location and spatial extent of the subsided region of our tsunami source model roughly 333 correspond to those obtained by the previous studies. However, the amount of the maximum 334 subsidence was much larger than the previous models and the locations of the peak subsidence of 335 tsunami source are slightly different from each other. Our tsunami source model had a maximum 336 subsidence of ~238 cm, whereas the two models obtained from the far-field tsunami data 337 (Adriano et al., 2018; Gusman et al. 2017) underestimated the subsidence (~180 cm and ~130 338 cm, respectively, Table 1). The subsidence peak of our model was located ~10 km southeast and 339 east of the models by Gusman et al. (2017) and Adriano et al. (2018), respectively (Figures S3a, 340

S3b). The peak location of the model of Nakata et al. (2019) was located  $\sim 10$  km northwest of 341 our models (Figure S3c), which was estimated by horizontally shifting the location of the slip 342 distribution model from the teleseismic data estimated by JMA (shown later, in Section 5.2) to fit 343 the coastal tide gauge waveforms. One reason for these differences may be the assumption of the 344 fault geometry, but the more significant reason should be the station coverage and the source-345 station distance. The coastal tide gauges or the offshore stations used in these previous studies 346 were located far from the source region and the stations at the offshore side of the source region 347 348 were not used in these studies, whereas the S-net has better station coverage and a smaller source-station distance. This could provide a better constraint on the horizontal location and peak 349 displacement amount to reproduce surprisingly well the tsunami waveforms not used for the 350 inversion. Thanks to this improvement in the constraint, we believe that we can obtain a finite 351 352 fault model with a higher resolution, as shown in the next section.

353

## 354 **5 Fault modeling**

#### 355 5.1 Rectangular fault model with uniform slip

Here, we attempt to constrain the finite fault model of the off-Fukushima earthquake. 356 The horizontal location and the peak subsidence of our tsunami source distribution are slightly 357 different from the other previous models. Therefore, we first estimated the fault model based on 358 a grid-search approach (Kubota et al., 2015; 2019). The procedure of the analysis is summarized 359 in Text S2 but briefly explained here. We assume one planar rectangular fault with a uniform 360 slip, with a set of unknown parameters (the fault model candidate). The unknown parameters are 361 the fault location (longitude, latitude, and depth) and its dimensions (length L and width W). The 362 strike, dip, and rake angles are fixed to the GCMT value (Table 1). The slip amount on fault D 363 was adjusted to maximize the VR (Eq. (1)). The search range for the unknown parameters is 364 summarized in Table S2, determined based on the tsunami source model. Using the fault model 365 candidate, we calculated the seafloor displacement (Okada, 1992) and then calculated the 366 pressure changes at each OBPG. This calculation procedure is identical to that for the Green's 367 function of the tsunami source modeling (see Text S1). The goodness of each fault model 368 candidate is evaluated by the VR values. 369

The horizontal location of the optimum fault is shown by dark red rectangle in Figure 4b. The detailed results are shown in Figure S4. We obtain the optimum fault model as L = 15

km, W = 10 km, and D = 467.7 cm ( $M_0 = 2.1 \times 10^{19}$  Nm,  $M_w$  6.8, assuming a rigidity of  $\mu = 30$ 372 GPa). The center of this model is located at a depth of 6 km, ~10 km east of the GCMT centroid 373 (Table 1). The GCMT centroid depth was 12 km and the aftershocks are mainly located at depths 374 of ~ 20 km (Figures 1b and 1c), whereas the estimated fault is located at the very shallow part of 375 the crust (Figure 1c and Table 1). This disagreement has also been pointed out by Gusman et al. 376 (2017), who suggested that the aftershocks determined from the inland network are 377 systematically deeper than the actual depth. The horizontal extent of the tsunami source is 378 379 relatively narrow and is located at the northeast, compared with the tsunami source model (Figure S4a). The reproductivity of the S-net pressure waveforms is reasonable (Figure S4), 380 although the VR is lower than that for the tsunami source modeling (VR = 59.3%). These 381 mismatches are probably because of the simple assumption of the rectangular fault, which could 382 383 not reproduce the southwest part of the tsunami source.

If we consider the empirical scaling relations from the magnitude, then the fault 384 dimension is expected to be ~700 km<sup>2</sup> (e.g., Wells & Coppersmith, 1994). On the other hand, the 385 estimated fault dimension of 150 km<sup>2</sup> is much smaller. In order to assess the dimensions of the 386 rectangular fault, fixing the seismic moment  $M_0$  and the fault center location to the optimum 387 model and varying the fault dimensions, we simulate tsunamis and compare the waveforms of 388 representative S-net stations near the focal area (Figure S5). If we assume a larger fault with L >389 20 km, the arrival of the peak downheaval wave and its amplitude cannot be explained for the 390 stations located northward (S2N01 and S2N02) or southward (S2N14 and S2N15) from the 391 392 source. In addition, the sharp peak of the downheaval waves observed at the stations located eastward (S2N09, S2N10, S2N11, S2N12, and S2N15) from the source are not well reproduced 393 by the fault width for the case in which W > 15 km. These results suggest that the fault 394 dimensions should be  $L \leq \sim 20$  km and  $W \leq \sim 15$  km. Considering this range, the estimated fault 395 396 dimensions are obviously smaller than expected based on the scaling relation. These much smaller fault dimensions are consistent with the size of the asperity, defined as the region of the 397 large slip on the fault (e.g., Somerville et al., 1999), expected from the empirical relation 398 deduced from the inland crustal earthquakes (Somerville et al., 1999; Miyakoshi et al., 2020). 399 This may suggest that this optimum rectangular fault corresponds to the asperity. 400

401

402 5.2 Slip distribution

We then conduct a finite fault inversion to estimate the slip distribution (finite fault 403 model). We assume a rectangular planar fault with dimensions of 45 km  $\times$  30 km, which passes 404 through the optimum fault obtained by the grid search, and then the planar fault is divided into 405 subfaults with size 3 km  $\times$  3 km. We then simulate the Green's function, (the pressure change 406 waveforms excited by each subfault), using a similar calculation procedure to that explained 407 above (see Texts S1 and S2). We estimated the slip amount of each subfault by the inversion 408 scheme identical to the tsunami source modeling, but we imposed a nonnegativity constraint 409 410 (Lawson & Hanson, 1974). The smoothing constraint was also imposed (Figure S6). The other details are described in Text S3. 411

The slip distribution obtained by the inversion analysis and the tsunami source 412 distribution calculated from this slip distribution are shown in Figures 4a and 5a, respectively. 413 414 The tsunami source distribution (Figure 5a) is similar to that obtained by the tsunami source inversion (Figure 3). The S-net and other tsunamis waveforms are explained (VR = 72.4%, 415 Figures 5b–5g). We obtain a maximum slip of  $D_{\text{max}} = 637.2$  cm, and the total seismic moment is 416  $M_0 = 6.3 \times 10^{19}$  Nm ( $M_w$  7.1,  $\mu = 30$  GPa). The large slip is concentrated in the northeastern part 417 of the fault plane, corresponding to the rectangular fault estimated by the grid-search analysis. 418 More specifically, subfaults with slip amounts with  $D > 0.5 \times D_{\text{max}}$  roughly correspond to the 419 rectangular fault (subfaults marked by green lines in Figure 4a, 41% of the total  $M_0$ ,  $M_w$  6.9). In 420 addition, a relatively small slip also extends to the southwestern part, which was not resolved in 421 the grid-search analysis, probably because of the simple assumption of the uniform slip 422 rectangular fault. If we take subfaults with slip amounts larger than  $0.2 \times D_{\text{max}}$ , both large 423 northeastern slip and relatively small southwestern slip are included (indicated by the thick black 424 lines in Figure 4). Thus, we define these subfaults as the main rupture area. The main rupture 425 area had dimensions of  $\sim$ 30 km  $\times \sim$  20 km, and 81% of the total moment was concentrated in the 426 main rupture area. We calculate the centroid location  $\mathbf{x}_c = (x_c, y_c, z_c)$  from the fault model, based 427 on the slip-weighted average of subfault center locations over the main rupture area (pink star in 428 Figure 4a, Table 1), defined as: 429

430

431

$$\mathbf{x}_{c} = \frac{\sum_{i} D_{i} \mathbf{x}_{i}}{\sum_{i} D_{i}},\tag{2}$$

- 433 where the subscript *i* denotes the subfault index,  $\mathbf{x}_i = (x_i, y_i, z_i)$  is the center location and  $D_i$  is the
- 434 slip amount. We here used the subfaults within the main rupture area for the centroid calculation.
- 435 The horizontal location of the centroid is located ~5 km southeast from the GCMT centroid.
- 436



Figure 4. Result of the slip inversion. (a) Slip distribution (colored tiles). The pink and white stars indicate the slip-weighted averaged centroid and the JMA epicenter, respectively. Subfaults with slip amounts larger than  $0.2 \times D_{max}$  (the main rupture area) and larger than  $0.5 \times D_{max}$  are marked by thick black lines and green lines, respectively. (b) Shear stress change along the fault. Negative (blue) and positive (red) values denote the shear stress decrease (or positive stress drop) and increase (negative stress drop), respectively. The dark red rectangle denotes the optimum rectangular fault obtained by the grid-search analysis.



446

Figure 5. (a) Spatial distribution of the tsunami source calculated from the finite fault model. (b–
g) Comparisons of the observed and simulated waveforms. See Figures 3 and 4 for the other
detailed description.

450

We then evaluated the resolution of the slip dimension from the S-net data based on the recovery test (Figure S7). In the recovery test, we simulated pressure waveforms assuming the rectangular fault with slip amount of 100 cm and with various fault dimensions (3 km, 6 km, 9 454 km, 12 km, and 15 km). Then, these simulated waveforms are regarded as the observed data and 455 inverted to estimate the slip distribution. The other conditions are identical to the original 456 inversion. As a result, when assuming the smaller fault dimension (3 or 6 km), the estimated 457 maximum slip was much smaller than the input and the recovered slip spreads out around the 458 surroundings (Figure S7a–S7b). On the other hand, when assuming the faults with dimension of 459  $\geq \sim 9$  km, the slip smearing is small and the recovered maximum slip is consistent with the input 460 amount (Figure S7c–S7f). This suggests that the resolution of the slip distribution is  $\sim 9$  km.

461 We compare our fault model with the other models estimated using the teleseismic data and onshore geodetic data, by JMA and Geospatial Information Authority, Japan (GSI), 462 respectively (Figure S8). The detailed fault parameters of these models are summarized in Table 463 1. The center of these fault models are ~10 km, almost consistent but slightly deeper compared 464 with our model (~8 km). The location of the maximum slip in the JMA teleseismic fault model is 465 almost identical to our model, although the maximum slip amount of 4.0 m was much smaller 466 than ours (6.4 m) (Figure S8a). The fault length of the geodetic fault model by GSI was ~45 km, 467 which was larger than the length of the main rupture area of our model ( $\sim$ 30 km). The maximum 468 slip of GSI model, 0.78 m, was much smaller than the average slip amount within the main 469 rupture area of our model (2.8 m) (Figure S8b). This comparison possibly suggests the S-net data 470 improved the spatial resolution of the slip distribution. 471

We then simulated the pressure waveforms for the representative S-net stations using the previously-proposed fault models (Adriano et al., 2018; Gusman et al., 2017; Nakata et al., 2019, Figure S9). We found the waveforms at the northern stations (~38°N) far from the focal area are reasonably reproduced by these model (Figures S9a) although the arrival times at the waveforms near the focal area (~36.5–37°N) and at the south (~36°N) were not reproduced (Figures S9b– S9c). The impulsive short-wavelength tsunami features at stations to the south direction (S2N15, S2N26, and S1N01) were not also explained well.

To investigate how the S-net data improved the resolution of the finite fault model, we then conducted the additional finite fault inversion using only the stations far from the focal area (Figures S10 and S11). The waveforms used for this additional inversion analysis is marked by blue traces in Figure S11c. The other settings is identical to the original analysis. As a result, the maximum slip of 3.8 m is almost similar to that obtained in the past studies (Figure S10a, S10c– S10e), but the large slip peak at the northeast part of the fault, around the rectangular fault

estimated by the grid-search analysis (Section 5.1), was not resolved (Figure S10a). The 485 waveforms for the far-field stations used for the inversion were reasonably explained, although 486 the short-period impulsive tsunamis at the near-field S-net stations were not reproduced (Figure 487 488 S11). In addition, we also conducted the recovery test to evaluate the resolution (Figure S12), with the identical procedure to that in the original analysis (Figure S7). We found that the 489 recovered slip image was unsharp and the resolution was much lower than the original (> -15490 km). This result indicates the near-source S-net OBPGs are important to resolve the slip 491 492 distribution and large slip component at the asperity with high resolution.

In our fault model, the downdip depth of the main rupture area was estimated as12.9-493 14.6 km. We evaluated the resolution of the downdip limit of the fault by additional tsunami 494 simulations (Figure S13). We assume a simple rectangular fault with the length of 30 km and slip 495 496 amount of 3 m, based on the main rupture area of the finite fault model (Figure S13a). We then vary the fault width so that the downdip limit of the fault is varied, and simulate tsunamis. As a 497 result, the waveforms at the southern stations are different depending on the fault width, 498 particularly for the stations located at the southeast from the epicenter (e.g., S2N18, S2N19, 499 Figures S13c–S13d). At these stations, the simulated first down waves arrive earlier and its 500 duration is longer, when the larger fault width are assumed (corresponding fault bottom depth is 501  $\geq \sim 16$  km), whereas the down waves are reproduced when the fault bottom end is assumed as 502 13–15 km. This suggests that the down dip end of the fault should be  $\leq$  15 km, consistent with 503 the depth obtained in the finite fault modeling. However, the simulated waveforms are almost 504 505 similar regardless of the fault width at the stations at the north (e.g., S3N26, S2N01, Figure S13b). Taking the point into account that the previous fault models (Figures S10c–S10e) were 506 derived without using the stations located at the offshore side of the focal area, the use of the 507 high-coverage S-net data, particularly located at the southeast of the focal area, potentially 508 509 contributed to the constraint of the downdip depth of the fault of the off-Fukushima earthquake.

510

511 5.3 Stress drop

512 In Figure 4b, we calculate the distribution of the shear stress change along the fault (i.e., 513 stress drop) by computing the shear stress change at the center of each subfault using the 514 equation of Okada (1992). Here the shear stress change is calculated on fault plane along the slip 515 vector (strike = 49°, dip =  $36^\circ$ , rake =  $-89^\circ$ ). The rectangular fault estimated by the grid-search analysis agrees well with the region where the shear stress is largely released (dark red rectangle

517 in Figure 4b), indicating that the rectangular fault model corresponds to the asperity, as discussed

above. We then calculate the energy-based stress drop, or the slip-weighted average stress drop,

 $\Delta \,\sigma_{\rm E} = \frac{\sum_i D_i \,\Delta \,\sigma_i}{\sum_i D_i},$ 

(3)

519  $\Delta \sigma_{\rm E}$  (Noda et al., 2013) as:

520

where  $D_i$  is the slip amount at the *i*-th subfault, and  $\Delta \sigma_i$  is the stress drop at the *i*-th fault. Using 523 the subfaults within the main rupture area ( $D > 0.2 \times D_{max}$ ), we obtain  $\Delta \sigma_E = 4.2$  MPa. As it is a 524 difficult issue to choose the appropriate area for the  $\Delta \sigma_{\rm E}$  calculation (Brown et al., 2015), we also 525 calculate the stress drop using all subfaults and the subfaults with  $D > 0.5 \times D_{\text{max}}$  (the region 526 marked by green lines in Figure 4a), and we obtain  $\Delta \sigma_{\rm E} = 3.3$  MPa and  $\Delta \sigma_{\rm E} = 6.8$  MPa, 527 respectively. This value seems not so small as expected for the interplate earthquakes ( $\sim 10^{0}$  MPa, 528 e.g., Kanamori & Anderson 1975), but rather is consistent with the intraplate earthquakes, which 529 generally have larger stress drop values (e.g., Miyakoshi et al., 2020; Somerville et al., 1999). 530

531 In Figure S10b, we calculate the spatial distribution of the shear stress change from 532 based on the fault model estimated by the additional inversion which uses only the far-field 533 stations (Figure S10a). Using the subfaults within the area where the slip was larger than 20 % of 534 the maximum slip, we obtain the average stress drop of  $\Delta \sigma_E = 2.3$  MPa. However, because the S-535 net OBPGs near the source were not explained by this additional fault model (Figure S11), the 536 actual average stress drop value should be larger than this value.

537

#### **6. Discussion: implication for the intraplate stress regime**

After the 2011 Tohoku earthquake, it has been reported that the normal-faulting seismicity significantly increased in the upper plate, which is thought to be related to the stress perturbation by the Tohoku earthquake (Figures 1d–1f, Asano et al., 2011; Hasegawa et al., 2012; Yoshida et al., 2012). This change in seismicity is interpreted as the result whereby the intraplate stress regime switched after the Tohoku earthquake from the horizontal compression to the horizontal extension (e.g., Hasegawa et al. 2012). As discussed previously, the use of the Snet tsunami data improved the constraint on the tsunami source and the fault model of the offFukushima earthquake, which made it possible to obtain the detailed distribution of the shear stress reduction and the static stress drop. Using these results, we attempt to discuss the quantitative relationship between the crustal stress released during the off-Fukushima earthquake and the stress increase due to the 2011 Tohoku earthquake. This kind of discussion is typically difficult to conduct because it is rare that both the high-resolution fault model of the M $\sim$ 7 offshore earthquake and the significant stress perturbation due to the megathrust earthquake are available.

553 If the stress regime switched from the horizontal compression to the horizontal extension by the Tohoku earthquake around the off-Fukushima earthquake, the deviatoric stress, 554 or the initial shear stress on the fault of the off-Fukushima earthquake, should be smaller than (or 555 at least equivalent to) the static shear stress increase due to the Tohoku earthquake (Figure 6a). 556 557 In other words, the stress drop of the off-Fukushima earthquake should be smaller than the shear stress increase due to the Tohoku earthquake. In Figure 7a, we calculate the shear stress change 558 due to the Tohoku earthquake, using the fault model of Iinuma et al. (2012), along the fault 559 geometry of the off-Fukushima earthquake. The shear stress change related to the Tohoku 560 earthquake around the focal area of the off-Fukushima earthquake is only ~2 MPa, which is 561 smaller than the stress drop of the off-Fukushima earthquake. We also calculated the shear stress 562 change related to the Tohoku earthquake based on the fault model of Yamazaki et al. (2018), as 563  $\sim$ 1.1 MPa. In addition, at the some subfaults in which the large slip was estimated (e.g., the 564 region marked by green lines in Figure 4a,  $D > 0.5 \times D_{max}$ ), the stress drop values are higher (> 565 ~10 MPa, the slip-weighted average is  $\Delta \sigma_{\rm E} = 6.8$  MPa) and thus the stress discrepancy was much 566 larger. The larger stress drop of the off-Fukushima earthquake than the stress increase after the 567 Tohoku earthquake is inconsistent with the presumption that the intraplate stress regime switched 568 by the stress change of the Tohoku earthquake. There may be other causes for the normal-569 570 faulting stress regime around the focal area, particularly at the asperity where the larger slip was estimated, of the off-Fukushima earthquake. 571



**Figure 6.** Schematic illustration of the temporal change of the stress regime around the off-

575 Fukushima earthquake. The stress regimes (a) assuming the switching of the stress regime after

576 the Tohoku earthquake and (b) without assuming the stress switching.

577





off-Fukushima earthquake inferred from the inversion analysis is also indicated by black lines.
Note that the color scales are different in each subfigure.

586

One possible cause is the postseismic slip of the Tohoku earthquake (Iinuma et al., 587 2016; Tomita et al., 2020). After the Tohoku earthquake, the postseismic seafloor deformation 588 was detected by the seafloor geodetic observation (Tomita et al., 2015; 2017), which was caused 589 by the postseismic slip along the fault and the viscoelastic deformation (Iinuma et al., 2016; Sun 590 591 et al., 2014; Tomita et al., 2020). Among the postseismic deformation, the afterslip along the plate interface is dominant in the south of the rupture area of the Tohoku earthquake, including 592 the region off Fukushima, whereas the viscoelastic deformation dominates the northern part of 593 the Tohoku earthquake rupture area (Iinuma et al., 2016; Tomita et al., 2020). We calculate the 594 595 shear stress change on the 2016 fault geometry using the postseismic slip models to evaluate the contribution by the postseismic slip around the focal area. We calculate the stress change due to 596 597 the postseismic slip models from 23 April 2011 to 10 December 2011 (Iinuma et al., 2016, Figure 7b) and during 2012 and 2016 (Tomita et al., 2020, Figure 7c), but the contributions by 598 599 these postseismic slip models were minor (on the order of  $10^{-1}$  MPa). We therefore concluded 600 that the shear stress increase due to the postseismic slip could not resolve the apparent contradiction between the stress drop of the off-Fukushima earthquake and the shear stress 601 increase after the Tohoku earthquake. This contradiction may arise from the assumption of the 602 switching of the stress regime, which was a reverse-faulting and a normal-faulting regime before 603 604 and after the Tohoku earthquake, respectively.

It will be reasonable to interpret this apparent contradiction that the horizontal 605 extensional stress regime was already predominant around the 2016 off-Fukushima earthquake 606 even before the Tohoku earthquake and the stress increase by the Tohoku earthquake further 607 608 enhanced the extensional stress (Figure 6b), in contrary to the past studies which report the horizontal compressive stress attributed to the plate coupling force was widely dominant in Japan 609 before the Tohoku earthquake (e.g., Terakawa & Matsu'ura, 2010; Wang & Suyehiro, 1999). In 610 other words, the extensional stress accumulated even before the 2011 Tohoku earthquake was the 611 dominant cause for the 2016 off-Fukushima earthquake. If we assume the stress state within the 612 plate switched from the horizontal compression to the extension due to the stress change of a few 613 MPa by Tohoku earthquake (Figure 6a), the 2016 earthquake must have occurred under the very 614

low extensional stress level (less than a few MPa), but this is very unlikely to occur. Although 615 there will be some uncertainties in the stress drop estimation of the 2016 earthquake and the 616 stress increase due to the 2011 Tohoku earthquake, these uncertainties do not matter to this 617 618 discussion and it is very reasonable to interpret that the stress state before the 2011 Tohoku earthquake was the horizontal extensional regime (Figure 6b). There are some recent reports that 619 some normal-faulting microearthquakes occurred even before the Tohoku earthquake in the 620 inland region of Fukushima prefecture (Imanishi et al., 2012; Yoshida et al., 2015a; 2015b), 621 622 which supports our hypothesis of the normal-faulting stress regime being predominant in this location even before the Tohoku earthquake. 623

624 One possible reason for this normal-faulting stress regime is the effect of bending of the overriding plate, in which the horizontal extensional and compressional stresses develop at 625 the shallower and the deeper portion of the plate, respectively (e.g., Fukahata & Matsu'ura, 626 2016; Hashimoto & Matsu'ura 2006; Turcotte & Schubert, 2002). Yoshida et al. (2015a) showed 627 that the normal-faulting stress regime is dominant at depths shallower than ~15 km in this region, 628 while the reverse-faulting stress regime is dominant at depths greater than ~15 km, which is 629 consistent with the hypothesis. We can also consider the topographic effects (Sasajima et al., 630 2019; Wang et al., 2019) for the formation of the horizontal extensional stress. There may be 631 another possible interpretation for this contradiction, that the stress regime switched to the 632 reverse-faulting regime again by the off-Fukushima earthquake; However this is improbable 633 because normal-faulting seismicity can be found nearby, even after one year from the earthquake 634 635 (Figure 1f).

Some major normal-faulting earthquakes were reported around the focal area of the 636 off-Fukushima earthquake in 1938 (Abe, 1977; Murotani, 2018). Furthermore, according to the 637 geologic cross-section around the off-Iwaki gas field, located near the 2016 off-Fukushima 638 earthquake, the northeast-southwest-trending reverse faults were developed at a depth shallower 639 than 6 km, which are considered to have formed during Oligocene and Miocene (Iwata et al., 640 2002). Along this fault trace, it was also reported that the normal-faulting-type surface offsets 641 with vertical offset of 5–10 m were found, and it was suggested that the direction of the tectonic 642 stress flipped to the normal-faulting regime during Quaternary and normal-faulting earthquakes 643 similar to the 2016 off-Fukushima earthquake repeatedly occurred along this fault (S. Toda, 644 https://irides.tohoku.ac.jp/media/files/earthquake/eq/2016 fukushima eq/20161122 fukushima 645

eq\_activefault\_toda.pdf, in Japanese). These reports may support our hypothesis that the crustal
stress regime was under the normal-faulting regime even before the Tohoku earthquake.

Note that the downdip limit of the main rupture area of our fault model the off-648 Fukushima earthquake is estimated as  $< \sim 15$  km (Figure S9), which is approximately consistent 649 with the downdip limit depth of the normal-faulting regime in the inland Fukushima region 650 estimated by Yoshida et al. (2015a). This suggests that the horizontal extensional stress regime 651 before the Tohoku earthquake around the focal area of the off-Fukushima earthquake is 652 653 predominant at depths shallower than 15 km and the stress neutral zone related to bending of the overriding plate lies at a depth of ~15 km. However, we note that the normal-faulting seismicity 654 extensively increased in the overriding plate after the Tohoku-Oki earthquake even at a depth 655 deeper than 15 km (e.g., Asano et al., 2011; Hasegawa et al., 2012). This might suggest that the 656 stress-neutral depth deepened around this region after the Tohoku earthquake. 657

As a summary of this discussion, the temporal change of the intraplate crustal stress around the off-Fukushima earthquake can be interpreted as follows. The horizontal extensional stress was predominant before the Tohoku earthquake within the shallowest part of the continental plate, but may not exceed the crustal strength. After the Tohoku earthquake, its stress perturbation enhanced the extensional stress, provoking the normal-faulting seismicity.

Before the 2011 Tohoku earthquake, no major seismicity was detected around the focal 663 area of the off-Fukushima earthquake (e.g., Asano et al., 2011; Hasegawa et al., 2012) and the 664 onshore seismic network could not detect micro-seismicity around this offshore region. On the 665 other hand, the use of the S-net OBPGs could well constrain the fault modeling of the 2016 off-666 Fukushima earthquake, which provides an important implication for the crustal stress regime 667 prior to the Tohoku earthquake, even though the S-net was not installed at that time. Such 668 information about the stress regime is important to understand the spatio-temporal change of the 669 intraplate stress state and the generation mechanisms of the intraplate earthquake, especially after 670 a megathrust earthquake. Our analysis demonstrated that the analysis of the offshore S-net data 671 provided implications for the crustal stress regime at the offshore region, which was difficult to 672 discuss before the S-net was available. Although the S-net OBPG data contains the tsunami-673 irrelevant pressure change signals, careful analysis of this data significantly improves the 674 constraint of the fault model and will deepen our understanding of the earthquake generation. 675

#### 677 7 Conclusions

We examined the S-net tsunami data associated with the off-Fukushima earthquake on 678 21 November 2016 ( $M_w$  7.1). We first processed the S-net OBPG data and found some pressure 679 signals irrelevant to tsunami were observed: (1) an extremely large drift component and (2) an 680 abrupt pressure step around the origin time. We discussed the cause of these tsunami-irrelevant 681 signals and concluded that these signals were not due to the pressure sensors themselves but 682 probably due to the observation system, although further investigations are necessary. We then 683 684 analyzed the S-net data in order to estimate the tsunami source model and the fault model. Careful analysis of the S-net OBPG data provided the tsunami source distribution, which had a 685 large subsidence with strike angle consistent with the GCMT solution. Our fault model suggested 686 that the energy-based stress drop of the off-Fukushima earthquake is  $\Delta \sigma_{\rm E} \sim 4.2$  MPa. The 687 quantitative comparison between the stress drop and the static stress changes caused by the 2011 688 Tohoku earthquake and its postseismic slip suggested that the additional source of the horizontal 689 690 extensional stress is necessary to explain the stress drop. We interpreted the stress regime around the off-Fukushima earthquake to be the horizontal extensional even before the Tohoku 691 earthquake, related to the bending of the overriding plate. The S-net pressure data is very useful 692 to constrain the tsunami source model and the finite fault model, even if the model is perturbed 693 by the tsunami-irrelevant signals, which provided an important implication for the tectonic stress 694 regime within the overriding plate. 695

696

#### 697 Data Availability Statement

698The S-net OBPG data (National Research Institute for Earth Science and Disaster699Resilience [NIED], 2019, https://doi.org/10.17598/NIED.0007) are available with data request

and permission, through the website of NIED (https://www.seafloor.bosai.go.jp, in Japanese).

701 The data policy of the S-net data is shown in

702 https://www.mowlas.bosai.go.jp/policy/?LANG=en. The NOWPHAS tsunami data is provided

<sup>703</sup> upon request to the Port and Airport Research Institute (PARI), in which the data redistribution is

prohibited (the contact address is shown in https://nowphas.mlit.go.jp/pastdata/, in Japanese,

accessed on 21 July, 2021). The data of the OBPGs installed by ERI of the University of Tokyo,

as used in Gusman et al. (2017), are attached to this article as Supplementary Dataset S1, with

707 permission of Masanao Shinohara, ERI. The OBPG data of Tohoku University are available in

Hino et al. (2021, https://doi.org/10.5281/zenodo.4961355). Station locations of the S-net OBPG 708 are available at https://www.seafloor.bosai.go.jp/st info/. The location of the OBPGs installed by 709 the ERI is available in Gusman et al. (2017), as well as in Dataset S1. The locations of the 710 NOWPHAS GPS buoys and wave gauges are available at https://nowphas.mlit.go.jp/pastdata/ (in 711 Japanese). The locations of the OBPGs installed by Tohoku University are listed in Hino et al. 712 (2021), as well as in Table S3. 713 We purchased the JTOPO30v2 bathymetry data from the Marine Information 714 715 Research Center (http://www.mirc.jha.jp/en/) of the Japan Hydrographic Association. The plate boundary model in Figure 1 (Nakajima & Hasegawa, 2006) is available from the website of 716 Fuyuki Hirose (https://www.mri-jma.go.jp/Dep/sei/fhirose/plate/PlateData.html, in Japanese, 717 accessed on 21 July, 2021). The rotation data of the S-net sensor (Takagi et al., 2019) was 718 719 provided by contacting Ryota Takagi, the lead author of Takagi et al. (2019). The slip models of the mainshock and postseismic slip of Iinuma et al. (2012; 2016) and Tomita et al. (2020) were 720 provided by the corresponding authors of each article, Takeshi Iinuma and Fumiaki Tomita. The 721 slip distribution models of Gusman et al. (2017), Adriano et al. (2018), and Nakata et al. (2019) 722 are available in each paper. The finite fault model using the teleseismic data by JMA is shown in 723 https://www.data.jma.go.jp/svd/eqev/data/sourceprocess/event/2016112205594689far.pdf (in 724 Japanese, accessed on 21 July, 2021) and its digital data is available from 725 https://www.data.jma.go.jp/svd/eqev/data/sourceprocess/data/2016112205594689far.zip 726 (accessed on 21 July, 2021). The fault model using the onshore geodetic data by GSI is available 727 728 from https://cais.gsi.go.jp/YOCHIREN/activity/214/214.e.html (accessed on 21 July, 2021) and https://cais.gsi.go.jp/YOCHIREN/activity/214/image214/008.pdf (in Japanese, accessed on 21 729 July 2021). The location of the seafloor bathymetry survey conducted by the Japan Agency for 730 Marine-Earth Science and Technology [JAMSTEC] (blue triangles in Figure 4a) was taken from 731 http://www.jamstec.go.jp/ceat/j/topics/20161208.html, 732 http://www.jamstec.go.jp/j/about/press release/20170301/ (in Japanese, accessed on 21 July, 733 2021). 734 The results of the tsunami source modeling (Figure 3) and the slip distribution (Figure 735 4) are available in the tgz compressed file, Dataset S2. The detailed description of the dataset is 736

available in README file of the tgz file.

## 739 Acknowledgments

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**Table 1.** Fault parameters for the rectangular fault models.

|                                | Fault center location |                      |                   |                    |        | <b>1</b> . [0] <b>D</b> 1. [0] |                       | Maximum vertical<br>displacement [cm] |            |
|--------------------------------|-----------------------|----------------------|-------------------|--------------------|--------|--------------------------------|-----------------------|---------------------------------------|------------|
| Models                         |                       |                      |                   | Ct.::1 [0] D:      | D: [0] |                                |                       |                                       |            |
|                                | Longitude Latitude    | Latitude             | Depth             | Strike [*] Dip [*] |        | Kake [°]                       |                       | <b>П</b> 1:Ө                          | Subsideres |
|                                | [°E]                  | [°N]                 | [km]ª             |                    |        |                                |                       | Opint                                 | Subsidence |
| GCMT solution                  | 141.46                | 37.31                | 12.0              | 49                 | 36     | -89                            | $3.18 \times 10^{19}$ | N/A                                   | N/A        |
| Tsunami source                 | N/A                   | N/A                  | N/A               | N/A                | N/A    | N/A                            | N/A                   | 16.3                                  | 238.4      |
| Grid-search <sup>ab</sup>      | 141.5165              | 37.3105              | 6.0               | 49                 | 36     | -89                            | $2.10 \times 10^{19}$ | 16.0                                  | 193.1      |
| Slip distribution <sup>a</sup> | 141.4908°             | 37.2630 <sup>c</sup> | 7.7°              | 49                 | 36     | -89                            | $6.30 \times 10^{19}$ | 10.5                                  | 237.4      |
| Gusman et al. (2017)           | 141.4532°             | 37.2705°             | 10.1°             | 45                 | 41     | -95                            | $3.70 \times 10^{19}$ | 10.1                                  | 182.6      |
| Adriano et al. (2018)          | 141.4406°             | 37.2695°             | 8.8 <sup>c</sup>  | 49                 | 35     | -89                            | $3.35 \times 10^{19}$ | 8.5                                   | 130.6      |
| Nakata et al. (2019)           | 141.4660°             | 37.2932°             | 10.5°             | 50                 | 48     | Variable                       | $8.52 \times 10^{19}$ | 29.7                                  | 222.2      |
| JMA                            | 141.5260°             | 37.2732°             | 10.5°             | 50                 | 48     | Variable                       | $8.52 \times 10^{19}$ | N/A                                   | N/A        |
| GSI                            | 141.4971 <sup>d</sup> | 37.2821 <sup>d</sup> | 10.1 <sup>d</sup> | 47.6               | 63.2   | -89.8                          | $2.0 \times 10^{19}$  | N/A                                   | N/A        |

<sup>a</sup>Fault geometry is fixed to the GCMT value.

<sup>b</sup>Fault dimension is L = 15 km, W = 10 km, and slip amount is D = 467.7 cm. The depths of the fault top and bottom are 3.1 km and 8.9 km, respectively.

<sup>c</sup>Slip-weighted average location is shown.

<sup>d</sup>Center of the rectangular fault is shown. The location of the left top corner is (141.28°E, 37.17°N, 2.2 km). The fault dimension and slip amount of the rectangular fault are L = 45.1 km and W = 17.7 km, D = 0.78 m. The top and bottom depths of the rectangular fault are 2.2 km and 18.0 km, respectively.



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#### Supporting Information for

# Improving the constraint on the *M*<sub>w</sub> 7.1 2016 off-Fukushima shallow normal-faulting earthquake with the high azimuthal coverage tsunami data from the S-net wide and dense network: Implication for the stress regime in the Tohoku overriding plate

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## Contents of this file

Texts S1 to S3 Figures S1 to S13 Tables S1 to S3

## Additional Supporting Information (Files uploaded separately)

Dataset S1 contains ocean-bottom pressure gauge data installed by Earthquake Research Institute, the University of Tokyo. Dataset S2 is the tgz compressed file which contain the results of the tsunami source modeling and the finite fault inversion.

## Introduction

Texts S1, S2, and S3 explain the procedures for the tsunami source modeling, the grid-search analysis for the rectangular fault model, and the fault slip estimation, respectively. Figure S1 is the trade-off curve used to determine the weight of the smoothing constraint for tsunami source modeling. Figure S2 is the result of the tsunami source modeling using the pressure waveforms. Figure S3 compares the tsunami source expected from the previous studies. Figure S4 is the result of the grid-search analysis. Figure S5 evaluates the uncertainty of the fault dimension of the rectangular fault model. Figure S6 is the trade-off curve used to determine the weight of the smoothing constraint for the fault slip inversion. Figure S7 is the result of the recovery test. Comparison with the fault models deduced from the onshore data is shown Figure S8. Figure S9 shows the tsunami simulation from the previous fault models. Figures S10, S11 and S12 are the result of the finite fault inversion only using the stations far from the focal area. Figure S13 evaluates the downdip limit of the fault depth. The time windows used for the inversion analysis is summarized in Table S1. Table S2 shows the unknown parameters searched in the grid-search analysis. The station locations of the OBPGs installed by Tohoku University are listed in Table S3.

#### Text S1.

This text explains the procedure for the tsunami source modeling shown in Section 4. We first explain how to simulate the tsunami Green's function, which are the pressure change waveforms due to the tsunami and seafloor displacement at each OBPG caused by the displacement of the small region of seafloor. We distribute the small elements of the seafloor uplift (unit source elements) around the focal area (rectangular area in Figure 3a). The unit source element of the seafloor vertical displacement is given by

$$u_{ij}(x,y) = u_0 \left[ \frac{1}{2} + \frac{1}{2} \cos\left(\frac{2\pi(x-x_i)}{L_x}\right) \right] \left[ \frac{1}{2} + \frac{1}{2} \cos\left(\frac{2\pi(y-y_j)}{L_y}\right) \right]$$
  
for  $x_i - \frac{L_x}{2} \le x \le x_i + \frac{L_x}{2}, \ y_j - \frac{L_y}{2} \le y \le y_j + \frac{L_y}{2},$  (S1)

which takes the maximum value of  $u_0 = 1$  cm at  $(x_i, y_j)$ . Here,  $L_x$  and  $L_y$  are the spatial extent of the unit source element along the x- and y-directions, respectively. We assume that  $L_x = L_y = 4$ km. Each of the unit sources overlaps with adjacent unit sources with a horizontal interval of  $\Delta L_x = L_x/2$  and  $\Delta L_y = L_y/2$ . The numbers of unit sources along the x-direction and y-directions are  $N_x = 25$  and  $N_y = 25$ , respectively, and the total number of unit sources is  $N = N_x \times N_y = 625$ . The size of the analytical area where the unit sources are distributed is 50 km × 50 km.

Using the seafloor vertical displacement from the unit sources, we calculate tsunamis using the following procedure. We assume the initial sea-surface height change assuming that the sea-surface displacement is equal to the seafloor displacement. We then solve the linear dispersive tsunami equation (Saito et al., 2010; Saito, 2019) in Cartesian coordinates with the staggered grid in order to simulate tsunamis:

$$\frac{\frac{\partial M}{\partial t}}{\frac{\partial t}{\partial t}} + g_0 h \frac{\frac{\partial \eta}{\partial x}}{\frac{\partial t}{\partial x}} = \frac{1}{3} h^2 \frac{\frac{\partial^2}{\partial x \partial t}}{\frac{\partial A}{\partial x}} \left( \frac{\frac{\partial M}{\partial x}}{\frac{\partial A}{\partial y}} + \frac{\frac{\partial N}{\partial y}}{\frac{\partial A}{\partial t}} \right)$$

$$\frac{\frac{\partial \eta}{\partial t}}{\frac{\partial t}{\partial t}} = -\frac{\frac{\partial M}{\partial x}}{\frac{\partial N}{\partial y}} - \frac{\frac{\partial N}{\partial y}}{\frac{\partial N}{\partial y}}$$
(S2)

where the variable  $\eta$  is the sea surface height anomaly (tsunami height), M and N are the velocity components integrated along the vertical direction over the seawater depth, h is the water depth, and  $g_0 = 9.8 \text{ m/s}^2$  is the gravitational constant. For water depth h, we use the JTOPO30 data with a spatial resolution of 30 arcsec, provided by the Marine Information Research Center of the Japan Hydrographic Association (http://www.mirc.jha.jp/en/), interpolating the spatial interval of  $\Delta x = \Delta y = 1 \text{ km}$ . We assume that the displacement occurs instantaneously, at time t = 0 s. The temporal interval of the calculation is  $\Delta t = 1$  s. After the calculation, we calculate the pressure change p at each OBPG location by subtracting the pressure offset change due to the seafloor displacement from the simulated sea-surface height change (Tsushima et al., 2012):

$$p = \rho_0 g_0 (\eta - u_z), \tag{S3}$$

where  $\rho_0$  is the density of seawater. Here we suppose seawater density  $\rho_0 \sim 1.02 \text{ g/cm}^3$  and  $g_0 = 9.8 \text{ m/s}^2$ , so that a seawater column height change of 1 cm H<sub>2</sub>O can be approximated as a pressure change of 1 hPa (i.e.,  $\rho_0 g_0 = 1 \text{ hPa/cm}$ ). We finally apply the same bandpass filter to the simulated waveform as that applied to the observation.

In order to estimate the tsunami source, we use the time-derivative waveforms of the bandpass-filtered pressure waveforms for the inversion analysis ( $\partial p/\partial t$ , Figure 3c), because the time-derivative of the step signal becomes the impulse signal and thus does not contain the offset change, which can reduce the artificials due to the tsunami-irrelevant steps (Kubota et al., 2018b). The data time window used for the modeling, which includes the main part of the tsunami (indicated by the blue traces in Figure 3c), is manually determined. We solve the following observation equation:

$$\begin{pmatrix} \mathbf{d} \\ \mathbf{0} \end{pmatrix} = \begin{pmatrix} \mathbf{H} \\ \alpha \mathbf{S} \end{pmatrix} \mathbf{m}$$
(54)

The data vector **d** consists of the time-derivative waveforms of the observed pressure  $\partial p/\partial t$ , and the matrix **H** consists of the time-derivative of the tsunami Green's functions. The vector **m** consists of the amounts of the displacement of the unit sources, which are the unknown parameters to be solved. The matrix **S** indicates the constraint for the spatial smoothing (e.g., Baba et al., 2006) and the parameter *a* is its weight. The goodness of the estimated source is evaluated using the variance reduction (VR):

$$VR = \left(1 - \frac{\sum_{i} \left(a_{i}^{obs} - a_{i}^{cal}\right)^{2}}{\sum_{i} a_{i}^{obs^{2}}}\right) \times 100 (\%)$$
(S5)

where  $d_i^{obs}$  and  $d_i^{cal}$  are the *i*-th data of the observed and calculated time-derivative pressure waveforms, respectively. The smoothing weight *a* is determined based on the trade-off between the weight and the VR (Figure S1) in order to avoid both the overfitting and oversmoothing of data.

#### Text S2.

This text explains the procedure for the grid-search analysis to estimate the planar rectangular fault model, shown in Section 5.1. The modeling procedure is mostly based on the approach proposed by Kubota et al. (2015; 2019). In the grid-search, we assume one planar rectangular fault with a uniform slip. The strike angle of the fault is fixed to the GCMT value  $(strike = 49^\circ)$ , considering the consistency with the direction of the northeast-southwest extent of the tsunami source. Since the dip and rake angles cannot be constrained only from the tsunami source, we assume these angles based on the GCMT solution (dip = 35° and rake =  $-89^{\circ}$ ), as inferred from the analysis of the teleseismic data. To find the optimum model that best reproduces the S-net waveforms, we vary the other fault parameters and simulate tsunamis. The unknown parameters of the rectangular fault that we search are the fault center location (longitude, latitude, and depth) and its dimensions (length L and width W). The slip amount on fault D is adjusted to maximize the VR in Eq. (S5). The search range for these parameters is summarized in Table S2, which is determined based on the tsunami source model obtained in the previous section. Using an assumed rectangular fault with a set of parameters (the fault model candidate), we calculated the seafloor displacement (Okada, 1992). Then, using the seafloor displacemet as the initial sea surface height, we simulated tsunamis with the same simulation scheme as the calculation of the Green's function for the tsunami source inversion (Text S1). After the calculation, we calculate the pressure changes at the OBPG stations using Eq. (S3). Finally we evaluate the goodness of each of the fault model candidates is evaluated using the VR values (Eq. (S5)), using the same time window as used in the inversion analysis (blue traces in Figure S4, Table S1).

#### Text S3.

This text describes the procedure of the finite fault slip inversion, shown in Section 5.2. The modeling procedure is almost similar to that reported by Kubota et al. (2018a). We first assume a rectangular planar fault with dimensions of  $45 \text{ km} \times 30 \text{ km}$ , which is supposed to pass through the optimum fault obtained by the grid search (Text S2). Then, this planar fault is divided into small rectangular subfaults with size of  $3 \text{ km} \times 3 \text{ km}$ . We then simulate the Green's function (i.e., the pressure change waveforms excited by each subfault) using a similar calculation procedure to that used in the grid-search analysis. The seafloor vertical displacements are calculated from each subfault using the equations of Okada (1992), assuming a unit slip of 1 m. In this calculation, the strike, dip, and rake angles are fixed to the GCMT value, as adopted in the finite fault inversion. Then tsunami is calculated using the vertical displacement distribution as the initial sea surface height change (Eq. (S2)). After the calculation, the pressure change is calculated by subtracting the component of the seafloor vertical deformation from the simulated sea surface height change (Eq. (S3)), to obtain the Green's function for the finite fault slip inversion.

Using the Green's function for the finite fault slip inversion, simulated by the procedure shown above, we solve the following observation equation, which is similar to the tsunami source inversion:

$$\begin{pmatrix} \mathbf{d} \\ \mathbf{0} \end{pmatrix} = \begin{pmatrix} \mathbf{G} \\ \alpha \mathbf{S} \end{pmatrix} \mathbf{m}.$$
 (S6)

The data vector **d** consists of the time-derivative waveforms of the observed pressure  $\partial p/\partial t$ , and the matrix **G** consists of the time-derivative of the Green's functions for the finite fault slip inversion. The vector **m** consists of the fault slip amount for each subfault (unit: [m]), which are the unknown parameters to be solved. The matrix **S** indicates the constraint for the spatial smoothing (e.g., Baba et al., 2006) and the parameter  $\alpha$  is its weight.

When solving this observation equation, we imposed a nonnegativity constraint (Lawson & Hanson, 1974) because the negative slip (i.e., reverse-faulting slip component) is quite unlikely to occur. The weighting of the smoothing constraint *a* is determined based on the trade-off curve between its weight and VR value (Figure S6).



**Figure S1.** Trade-off curve between the smoothing weight *a* and VR. Red and blue solid lines are the trade-off curves for the inversions using the time-derivative waveform of the pressure (Figure 4) and the pressure waveform (Figure S2), respectively. Dashed lines denote the weight values used for the inversion analyses. Note that the VR values are calculated by using the time-derivative pressure waveforms for the inversion using the time derivative-waveforms (red), and by using the pressure waveforms for the inversion using the pressure waveforms (blue), respectively.



**Figure S2.** Results of the tsunami source inversion based on the conventional method. (a) Spatial distribution of the tsunami source. Contour lines denote the subsided region with intervals of 20 cm. The white star is the JMA epicenter. The yellow and gray circles show the S-net OBPGs used or not used, respectively, for inversion analysis. Comparisons of (b) the pressure waveforms and (c) the time-derivative waveforms. The gray and red traces denote the observed waveforms and simulated waveforms from the tsunami source model. Traces marked by blue lines denote the time window used for the inversion analysis.



**Figure S3.** Comparison of the tsunami source calculated from the finite fault models of the previous studies (black contours) and the tsunami source model (red). Models of (a) Gusman et al. (2017), (b) Adriano et al. (2018), and (c) Nakata et al. (2019) are shown. The contour intervals are 20 cm. The configuration of the fault is also shown by gray lines.



**Figure S4.** Results of the grid-search analysis. (a) Spatial distribution of the tsunami source. The green rectangle shows the location of the rectangular fault model. Black contours are the tsunami source calculated from the rectangular fault model (Table 1). The distribution of the tsunami source model obtained by the inversion is also shown by gray contours. Comparisons of (b) the pressure waveforms and (c) the time-derivative waveforms. See Figure 3 and S2 for a detailed explanation of the figure.



**Figure S5.** (a) Horizontal location of the optimum rectangular fault model. The green rectangle shows the location of the rectangular fault model and countour lines are the distribution of the tsunami source calculated from the rectangular fault model. (b–i) Evaluation of the fault dimension. Comparisons of the stations near the epicenter between the observed (gray) waveform and the simulated waveforms from the varied fault dimensions are shown. The simulated waveforms with thick red and blue traces denote the optimum rectangular fault.



**Figure S6.** Trade-off curve between the smoothing weight *a* and VR for the finite fault slip inversion. Red solid line is the trade-off curves for the inversions using the time-derivative waveform of the pressure (Figure 4). Dashed lines denote the weight values adopted for the inversion analyses.



**Figure S7.** Results of the recovery test of the inversion analysis. The assumed faults sizes are (a)  $3 \times 3$  km, (b)  $6 \times 6$  km, (c)  $9 \times 9$  km, (d)  $12 \times 12$  km, (d)  $15 \times 15$  km, and (e)  $15 \times 12$  km, respectively. The recovered slip distribution is shown by colors, and black rectangles denote the location of the assume fault.



**Figure S8.** Comparison with the fault model estimated from the onshore data. (a) Comparison with the fault model obtained by using the teleseismic data provided by JMA (https://www.data.jma.go.jp/svd/eqev/data/sourceprocess/event/2016112205594689far.pdf). The gray and pink contour lines denote the slip distribution of the 2016 off-Fukushima earthquake obtained by this study and JMA, respectively (contour interval: 1 m). Large dark gray rectangles show the configuration of the fault plane of the JMA analysis.

(b) Comparison with the fault model obtained by using the onshore geodetic data provided by Geospatial Information Authority of Japan (GSI, https://cais.gsi.go.jp/YOCHIREN/activity/214/214.e.html, https://cais.gsi.go.jp/YOCHIREN/activity/214/image214/008.pdf). The blue rectangle denotes the location of the rectangular planar fault model estimate by GSI. The parameters are follows: fault left top corner location = (37.17°N, 141.28°E, 2.2km), length = 45.1 km, width = 17.7 km, strike = 47.6°, dip = 63.2°, rake = -89.8°, and slip amount = 0.78 m.



**Figure S9.** Comparison of the observed (gray) and synthesized S-net OBPG waveforms, from the fault models estimated by this study (red) and the previous studies (blue, Gusman et al., 2017; Adriano et al., 2018; Nakata et al., 2019). (a) Comparison for the stations at northern part of the off northeastern Japan (~38 °N). (b) Comparison for the stations at middle part of the off northeastern Japan (~36.5–37 °N). (c) Comparison for the stations at middle part of the off northeastern Japan (~36 °N).



**Figure S10.** Result of the slip inversion using only the stations far from the focal area. (a) Slip distribution (colored tiles). The pink and white stars indicate the slip-weighted averaged centroid and the JMA epicenter, respectively. (b) Shear stress change along the fault. Negative (blue) and positive (red) denote the shear stress decrease and increase, respectively. The dark red rectangle denotes the optimum rectangular fault obtained by the grid-search analysis. (c–e) Slip distribution by the previous studies.



**Figure S11.** Result of the slip inversion using only the stations far from the focal area. (a) Slip distribution (colored tiles) and tsunami source distribution (black contours, 20 cm interval). (b–c) Comparisons of the observed (gray) and simulated (red) waveforms.



**Figure S12.** Result of the recovery test, which used only the stations far from the focal area (Figure S10). The other caption is identical to Figure S7.



**Figure S13.** The simulation of the simple rectangular fault with different fault widths. (a) Configurations of the assumed faults. Depths of the bottom end of the rectangular faults ( $Z_{bot}$ ) are 9.4, 11.2, 12.9, 14.6, 16.3, and 18.0 km. (b–d) Comparisons of the observed (gray) waveforms and the waveforms simulated from the finite fault model (red) and simulated from the assumed rectangular fault models (blue).

| Station | Begin time of time      | End time of time | dt [c] |  |
|---------|-------------------------|------------------|--------|--|
| Station | window [s] <sup>a</sup> | window [s]ª      | ut [S] |  |
| S3N20   | 1200                    | 2700             | 10     |  |
| S3N21   | 1200                    | 2700             | 10     |  |
| S3N22   | 900                     | 2400             | 10     |  |
| S3N23   | 900                     | 2400             | 10     |  |
| S3N24   | 900                     | 2400             | 10     |  |
| S3N25   | 900                     | 2400             | 10     |  |
| S3N26   | 1500                    | 3000             | 10     |  |
| S2N01   | 900                     | 2400             | 10     |  |
| S2N02   | 300                     | 1800             | 10     |  |
| S2N03   | 600                     | 2100             | 10     |  |
| S2N04   | 600                     | 2100             | 10     |  |
| S2N05   | 900                     | 2400             | 10     |  |
| S2N06   | 900                     | 2400             | 10     |  |
| S2N07   | 900                     | 2400             | 10     |  |
| S2N08   | 600                     | 2100             | 10     |  |
| S2N09   | 600                     | 2100             | 10     |  |
| S2N10   | 600                     | 2100             | 10     |  |
| S2N11   | 300                     | 1800             | 10     |  |
| S2N12   | 150                     | 1650             | 10     |  |
| S2N13   | N/A                     | N/A              | N/A    |  |
| S2N14   | 150                     | 1650             | 10     |  |
| S2N15   | 600                     | 2100             | 10     |  |
| S2N16   | 600                     | 2100             | 10     |  |
| S2N17   | 600                     | 2100             | 10     |  |
| S2N18   | 600                     | 2100             | 10     |  |
| S2N19   | 600                     | 2100             | 10     |  |
| S2N20   | 600                     | 2100             | 10     |  |
| S2N21   | 900                     | 2400             | 10     |  |
| S2N22   | 900                     | 2400             | 10     |  |
| S2N23   | 900                     | 2400             | 10     |  |
| S2N24   | 900                     | 2400             | 10     |  |
| S2N25   | 900                     | 2400             | 10     |  |
| S2N26   | 900                     | 2400             | 10     |  |
| S1N01   | 1500                    | 3000             | 10     |  |
| S1N02   | 1200                    | 2700             | 10     |  |
| S1N03   | 1200                    | 2700             | 10     |  |
| S1N04   | 1200                    | 2700             | 10     |  |
| S1N05   | 1200                    | 2700             | 10     |  |

**Table S1.** Time window range used for the inversion analysis.

<sup>a</sup>Time window is measure from the origin time.

<sup>b</sup>In the inversion, the bandpass-filtered (100–3600 s) data are resampled every *dt* s when solving the observation equation.

| 5                       |                                 |                  |  |  |
|-------------------------|---------------------------------|------------------|--|--|
| Parameters              | Range                           | Increment        |  |  |
| Longitude <sup>ab</sup> | 141.46°E ± 20 km                | 5 km             |  |  |
| Latitude <sup>ab</sup>  | 37.31°N ± 20 km                 | 5 km             |  |  |
| Depth <sup>ab</sup>     | 12.0 km ± 10 kmª                | 2 km             |  |  |
| Strike <sup>a</sup>     | 49°                             | Fixed            |  |  |
| Dip <sup>a</sup>        | 35°                             | Fixed            |  |  |
| Rake <sup>a</sup>       | -89°                            | Fixed            |  |  |
| Length <sup>c</sup>     | 5 km – 60 km                    | 5 km             |  |  |
| Width <sup>c</sup>      | 5 km – 60 km                    | 5 km             |  |  |
| Slip amount             | Adjusted so that the VR value t | akes the maximum |  |  |

**Table S2.** Search range for the grid search analysis.

<sup>a</sup>Reference values are taken from the GCMT solution.

<sup>b</sup>Fault center location is shown.

<sup>c</sup>When the depth of the updip end of the fault is shallower than a depth of 0.1 km, the calculation is skipped.

| Longitude (°E) | Latitude (°N)  | Depth (m)  | Observation duration  | Logger   |
|----------------|--|--|---|--|
|                |  |  | (yyyy/mm/dd)  | typeª  |
| 144.9204       | 38.7030  | 5456   | 2016/05/22 – 2017/04/11   | UME  |
| 143.5317       | 38.0213  | 4366   | 2016/05/24 – 2017/04/10   | UME  |
| 143.0470       | 37.3324  | 4414   | 2016/05/27 – 2017/04/15   | HAK  |
| 142.7123       | 36.8979  | 4232   | 2016/05/28 – 2017/04/09   | HAK  |
| 142.6735       | 36.4931  | 5691   | 2016/05/28 – 2017/04/09   | HAK  |
| 142.3176       | 36.8725  | 2853   | 2016/09/22 – 2017/11/09   | UME  |
| 142.7140       | 36.8993  | 4225   | 2016/09/22 – 2017/10/15   | UME  |
| 142.2868       | 36.6937  | 2544   | 2016/09/22 – 2017/10/15   | HAK  |
| 142.5800       | 36.8055  | 4550   | 2016/09/28 – 2017/10/15   | UME  |
| 142.8553       | 36.7225  | 5506   | 2016/09/28 – 2017/10/14   | HAK  |
| 143.5215       | 37.6773  | 5239   | 2016/10/02 – 2017/10/19   | UME  |
|                | Longitude (°E)<br>144.9204<br>143.5317<br>143.0470<br>142.7123<br>142.6735<br>142.3176<br>142.7140<br>142.2868<br>142.5800<br>142.8553<br>143.5215 | Longitude (°E)Latitude (°N)144.920438.7030143.531738.0213143.047037.3324142.712336.8979142.673536.4931142.317636.8725142.714036.8993142.286836.6937142.580036.8055142.855336.7225143.521537.6773 | Longitude (°E)Latitude (°N)Depth (m)144.920438.70305456143.531738.02134366143.047037.33244414142.712336.89794232142.673536.49315691142.317636.87252853142.714036.89934225142.286836.69372544142.580036.80554550142.855336.72255506143.521537.67735239 | $\begin{array}{c c c c c c c c c c c c c c c c c c c $ |

**Table S3.** Station list of the OBPGs installed by Tohoku University

<sup>a</sup>UME: Paroscientific Series 8CB intelligent type pressure sensor + Umezawa-Musen Co. data logger, HAK: Paroscientific Series 8B pressure sensor + Hakusan Co. LS9150 data logger <sup>b</sup> Station G17 and AoA60 are installed at almost identical location.